

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
7 March 2002 (07.03.2002)

PCT

(10) International Publication Number
WO 02/19116 A2

(51) International Patent Classification⁷: **G06F 13/00**

(21) International Application Number: PCT/EP01/09644

(22) International Filing Date: 20 August 2001 (20.08.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0021279.5 31 August 2000 (31.08.2000) GB

(71) Applicant (for all designated States except US): **F-SECURE OYJ** [FI/FI]; Tammasaarankatu 7, PL 24, FIN-00180 Helsinki (FI).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **FREESE, Esko** [FI/SE]; Rimberstvägen 3, S-12650 Hägersten (SE).

(74) Agent: **LIND, Robert**; Marks & Clerk, 4220 Nash Court, Oxford Business Park South, Oxford, Oxfordshire OX4 2RU (GB).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

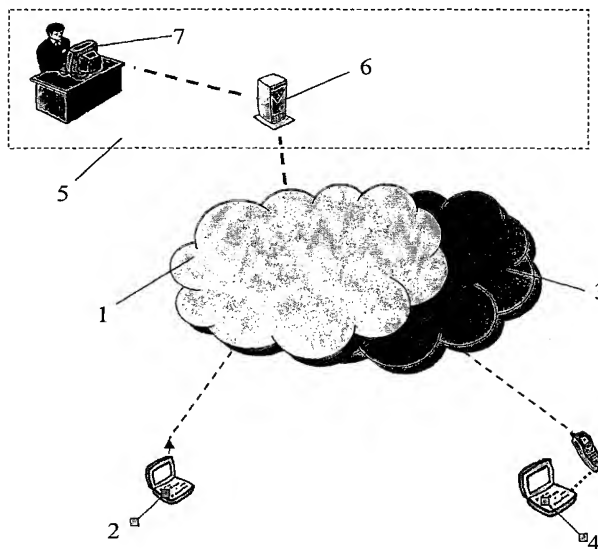
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: WIRELESS DEVICE MANAGEMENT



(57) Abstract: A method of managing a wireless device (1), the method comprising installing a management agent program in a memory of the wireless device (1), the installed management agent monitoring the status of application programs installed on the device. Management instructions are sent to the mobile device (1) from a Management Centre (5) using a wireless telecommunications network (2) and, following receipt of the management instructions at the device, the management agent processes the instructions and manages the applications accordingly. The management agent reports the results of the processing operation to the Management Centre (5) via the wireless telecommunications network (2).



WO 02/19116 A2

Wireless Device Management

The present invention relates to wireless device management and in particular to the remote management of wireless devices.

5

The last decade has seen a rapid growth in the number and use of mobile cellular telephones. More recently, wireless devices known as "communicators" have been introduced and combine the functionality of mobile telephones and Personal Digital Assistants (PDAs). It is expected that this area will undergo massive growth in the near
10 future as new cellular telecommunication standards (e.g. GPRS, UMTS, WAP) make possible the high speed transfer of data across the wireless interface.

The next generation of mobile telephones are likely to resemble a mini-computer rather than a telephone per se. Also, whilst to date cellular telephones have been very much
15 manufacturer specific in terms of both hardware and software, future wireless devices are likely to be built on a much more open platform. This will allow the introduction into the devices of third party applications and will further fuel growth in much the same way as Microsoft Windows™ has done for personal computers.

20 It is to be expected that much of the third party software which will be installable into wireless devices will be associated with a chargeable service and/or will require modification/updating etc after the initial installation.

According to a first aspect of the present invention there is provided a method of
25 managing a wireless device, the method comprising:

- installing a management agent program in a memory of the wireless device, the installed management agent monitoring the status of application programs installed on the device;

- 30 sending management instructions to the mobile device using a telecommunications network; and

- receiving the management instructions at the device, the management agent processing the instructions and managing the applications accordingly.

The nature of mobile wireless devices (e.g. lacking input/output facilities such as disk drives) means that it is difficult or even impossible to install software applications after devices have been manufactured. Device manufacturers are also reluctant to allow device software to be modified by unauthorised third parties. The invention described
5 here overcomes these problems by allowing device (software) architecture to be modified remotely by operators or authorised third parties. For example, a device may be supplied to a subscriber with a set of preloaded applications. These applications may be subsequently turned on or off by the sending of an appropriate management instruction from the operator's network to the device. This would avoid the need for a
10 device to be returned to the manufacturer, or to an authorised dealer, merely to implement a software change. The management agent performs a centralised control role in the wireless device.

In certain embodiments of the present invention, the management agent causes reports
15 to be sent back to the origin of the management instructions following the processing of these instructions. For example, a report may contain confirmation that an application has been successfully turned on (installed) or off (uninstalled). These reports may be cryptographically signed.

20 Preferably, the wireless device is operated by a subscriber to said telecommunications network, which network is a digital cellular telecommunications network. The network may be for example a GSM network or an evolution thereof such as GSM phase 2 (including GPRS) or UMTS.

25 Preferably, the application programs installed on the device may be in an active or inactive state. A switch in the state of a given application may be achieved by sending an appropriate management instruction from the network to the device, whereupon the management agent acts on the instruction to implement said switch.

30 Preferably, the step of sending management instructions to the mobile device comprises sending these instructions from a management centre of the telecommunications network. However, management instructions may alternatively be sent from an authorised third party management centre.

In one embodiment of the invention, an anti-virus application program is installed in the wireless device and may be switched on or off by a management agent in response to a management instruction sent from the network to the device.

5

The management agent may control the installation of applications downloaded from the network to the wireless device. For example, these may be new applications requested by the user of the device. The management agent may control the modification of an application or of data associated with an application following the downloading of modifications from the network.

10

The management agent may send monitored application status information to the telecommunications network. This may be used, for example, for the purpose of charging or to determine which updates should be sent to the device.

15

Said management instructions may be sent from the network to the mobile device using any appropriate bearer. For example, in a GSM (or UMTS) network instructions may be sent using the Short Message Service (SMS) or Unstructured Supplementary Services Data (USSD) messages. For larger data volumes, a connection oriented (e.g. circuit switched) or connectionless (packet switched) communication channel may be used. It is also possible that an SMS or USSD message may be sent from the network to the device to trigger the setting up of a connection for the transfer of a larger volume of data.

20

Preferably, in order to ensure a secure connection on top of an open bearer service (such as SMS), management instructions are cryptographically signed. This signature can be used by a wireless device to authenticate the instructions.

25

The management agent may be used to control and enforce policies on the wireless device. For example, these policies may include security policies including encryption, permitted connections, etc. Policies may be defined by the Management Centre.

30

According to a second aspect of the present invention there is provided a wireless device arranged to communicate with a telecommunication network, the device comprising:

5 a memory storing a management agent program and one or more application programs;

processing means for running the management agent to monitor the status of application programs installed on the device; and

receiving means for receiving management instructions, sent from the network, and for passing these to the management agent,

10 the management agent being arranged to process the instructions and manage the applications accordingly.

The wireless device may be for example a mobile telephone, communicator, PDA, palmtop computer, or laptop computer.

15

For a better understanding of the present invention and in order to show how the same may be carried into effect reference will now be made by way of example to the accompanying drawings in which:

Figure 1 illustrates schematically a management system for a wireless device;

20 Figure 2 illustrates schematically the software architecture of a wireless device; and

Figure 3 is a flow diagram illustrating a method of operation of the system of Figure 1.

There is illustrated in Figure 1 a Public Land Mobile Network (PLMN) 1 which is the home network of a subscriber using a wireless device 2. The device 2 illustrated is a
25 communicator type device. For the purpose of the following discussion, the PLMN 1 is assumed to be a GSM network. A second PLMN 3 is illustrated in the Figure, and this PLMN may represent a foreign or visited network for a roaming subscriber (using a wireless device 4) whose home network is also the PLMN 1.

30 Within the PLMN 1, the network operator is provided with a Management Centre 5 comprising a Management Server 6 and a Management Console 7. The Management Server 6 is connected to the communication backbone of the PLMN 1, e.g. to an MSC (not shown in the Figure). Via the Management Console 6, the operator is able to send

SMS messages and data to devices such as the devices 2,4, and receive the same from these devices. Policies such as access rights, application availability, security etc., are defined at the management centre for individual (or classes of) subscribers.

- 5 The devices 2,4 each have a memory storage means into which a number of application programs are pre-loaded by the manufacturer or by the device supplier. These applications may comprise a phone application (used for making and controlling phone calls), a contacts database, a word processor, and one or more security applications such as an anti-virus application. The memory also contains a device operating system such
10 as the EPOC, Windows CE™, PalmOS™, or Mobile Linux operating systems.

- The memories of the devices 2,4 are each additionally pre-loaded with a management agent program, the primary function of which is to allow for the remote control of the device resident applications (and for the installation, deletion, and updating of
15 applications) from the Management Centre 5 of the network 1. The software architecture of the devices 2,4 is illustrated in Figure 2, from which it will be apparent that the management agent may issue commands through the operating system or directly to other applications installed in the device. The management agent may for example be able to delete or copy files by issuing operating system commands. The
20 management agent performs a central control role in the mobile wireless device, and all requests, configurations, downloads, etc, are funnelled through the management agent.

- Consider now the situation where the operator of the PLMN 1 wishes to deactivate or activate an application resident in the memory of the wireless device 2. This action may
25 follow, for example, a request received from the device user (e.g. in an SMS message or via a telephone call). The operator initiates the sending of an appropriate management instruction from the Management Console 7. The instruction contains the identity of the application to be controlled, and the action to be taken. The instruction is cryptographically signed to authenticate the instruction, and is passed to the
30 Management Server 6 which relays the instruction to the MSC of the network 1 in the payload of an SMS message (NB. where the instruction length exceeds the maximum payload of an SMS message, the instruction may be segmented and sent in two or more

"concatenated" SMS messages). The header of the SMS payload contains the telephone number of the destination device 1.

The network 1 causes the SMS message to be delivered to the device 1. Following acceptance of the message by the device user, the management instruction contained in the SMS message is passed to the management agent. The management agent first authenticates the instruction (using the cryptographic signature), and then analyses the instruction and acts accordingly, i.e. to activate or deactivate an application resident in the device's memory.

It will be appreciated that the mechanism described here allows operators and software vendors to maintain control over who may use their software, and moreover allows this to be achieved in an extremely flexible manner. For example, users may be charged a licence fee for a fixed time period and, after that time has expired and the user has not renewed the licence, the software in the user's device may be inhibited.

A similar mechanism may be used to update an application of the device 2, or to update data associated with an application. Consider for example an anti-virus application installed and active on the device 2. Typically, anti-virus applications rely upon previously identified virus signatures to identify viruses in scanned data. These signatures are stored in a database. Database updates may be sent from the Management Centre 5 to the wireless device 2 in a management instruction (using SMS messages), where they are received by the management agent. After authentication of the instruction, the management agent proceeds to update the virus signature database in the memory of the device 2. The anti-virus software itself may be updated using a similar mechanism.

An important function of the management agent is that of reporting to the Management Centre 5. The management agent may report for example the successful installation of a new application, or the failure of an instructed installation. This allows the Management Centre 5 to maintain an accurate record of the status of a mobile device.

In the above examples, the Management Centre 4 "pushes" information and data to the wireless devices. Where the volume of data to be sent from the Management Centre 5 is large, an SMS message may be used as a trigger for establishing some other bearer channel having a greater bandwidth and via which data may be "pulled" to a wireless device. For example, in the case of an application or data update, a trigger SMS message may be sent from the Management Centre 5, asking the subscriber to establish a data call to the Management Server 6. Once this connection is established, the update can be downloaded to the device 1.

10 Figure 3 is a flow diagram further illustrating the main steps in a method of remotely managing applications of a wireless device using the management agent concept.

It will be appreciated by the person of skill in the art that various modifications may be made to the above described embodiments without departing from the scope of the present invention. For example, in the system described the management centre 5 is located within the PLMN 1 (or at least is controlled by the operator of the PLMN 1). However, the Management Centre 5 may instead be operated by a third party, for example the vendor of application software or by some independent service provider who may charge users and/or application vendors a commission. In some cases, the Management Console 7 may be operated by a third party with the Management Server 6 being operated by the PLMN operator. In another modification to the above described embodiment, the management agent may be incorporated into the device operating system (EPOC, WindowsCE™, etc), rather than being a standalone application.

CLAIMS:

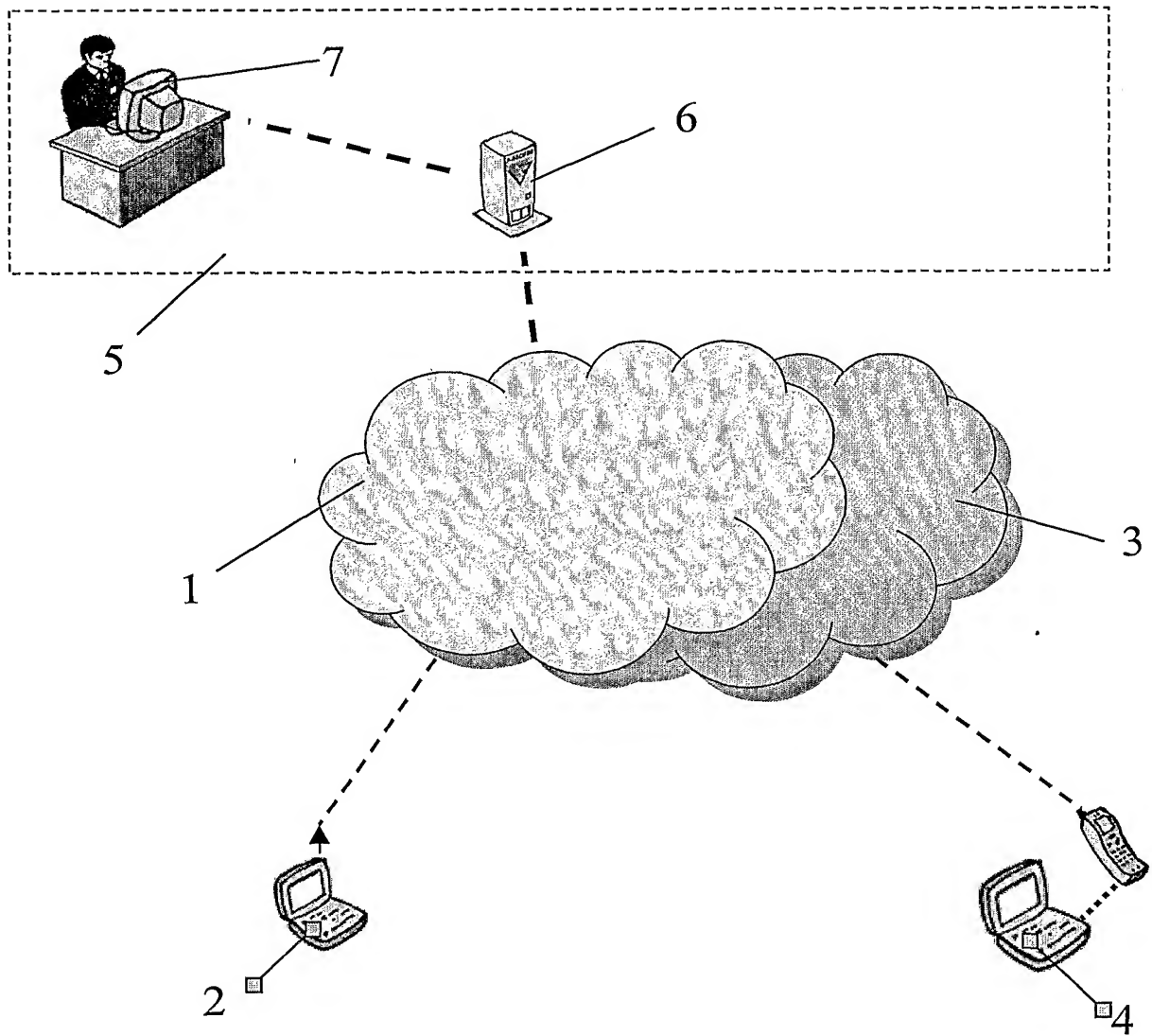
1. A method of managing a wireless device, the method comprising:
installing a management agent in a memory of the wireless device, the installed
5 management agent monitoring the status of the device and of applications installed on
the device;
sending management instructions to the mobile device using a wireless
telecommunications network;
receiving the management instructions at the device, the management agent
10 processing the instructions and managing the applications accordingly.
2. A method according to claim 1, wherein the management agent causes reports to
be sent back to the origin of the management instructions following the processing of
these instructions.
15
3. A method according to claim 1 or 2, wherein the wireless device is operated by a
subscriber to said wireless telecommunications network, which network is a digital
cellular telecommunications network.
- 20 4. A method according to any one of the preceding claims, wherein the application
programs installed on the device may in an active or inactive state, and a switch in the
state of a given application is achieved by sending an appropriate management
instruction from the network to the device, whereupon the management agent acts on
the instruction to implement said switch.
- 25 5. A method according to any one of the preceding claims, wherein the step of
sending management instructions to the mobile device comprises sending these
instructions from a management centre of the telecommunications network.
- 30 6. A method according to any one of claims 1 to 4, wherein management
instructions are sent to the wireless device from an authorised third party management
centre.

7. A method according to any one of the preceding claims, wherein an anti-virus application program is installed in the wireless device and is switched on or off by a management instruction sent from the network to the device.
- 5 8. A method according to any one of the preceding claims, wherein the management agent controls the installation of applications downloaded from the network to the wireless device.
9. A method according to any one of the preceding claims, wherein the
10 management agent controls the modification of an application or of data associated with an application following the downloading of modifications from the network.
10. A method according to any one of the preceding claims, wherein the
15 management agent sends monitored application status information to the telecommunications network.
11. A method according to any one of the preceding claims, wherein the network is a GSM or UMTS network and said management instructions are sent from the network to the mobile device using the Short Message Service (SMS) or Unstructured
20 Supplementary Services Data (USSD) messages.
12. A method according to any one of the preceding claims, wherein in order to ensure a secure connection on top of an open bearer service management instructions are cryptographically signed.
25
13. A wireless device arranged to communicate with a wireless telecommunication network, the device comprising:
- a memory storing a management agent and one or more applications;
 - processing means for running the management agent to monitor the status of
30 applications installed on the device; and
 - receiving means for receiving management instructions, sent from the network, and for passing these to the management agent,

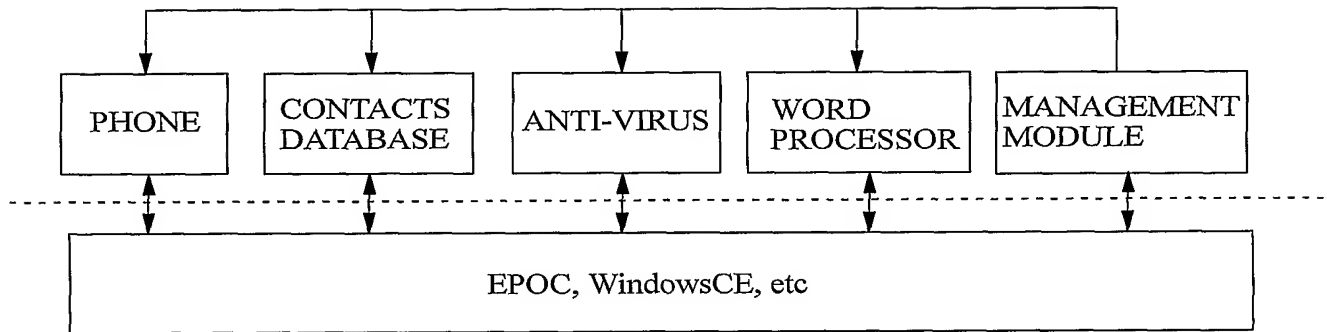
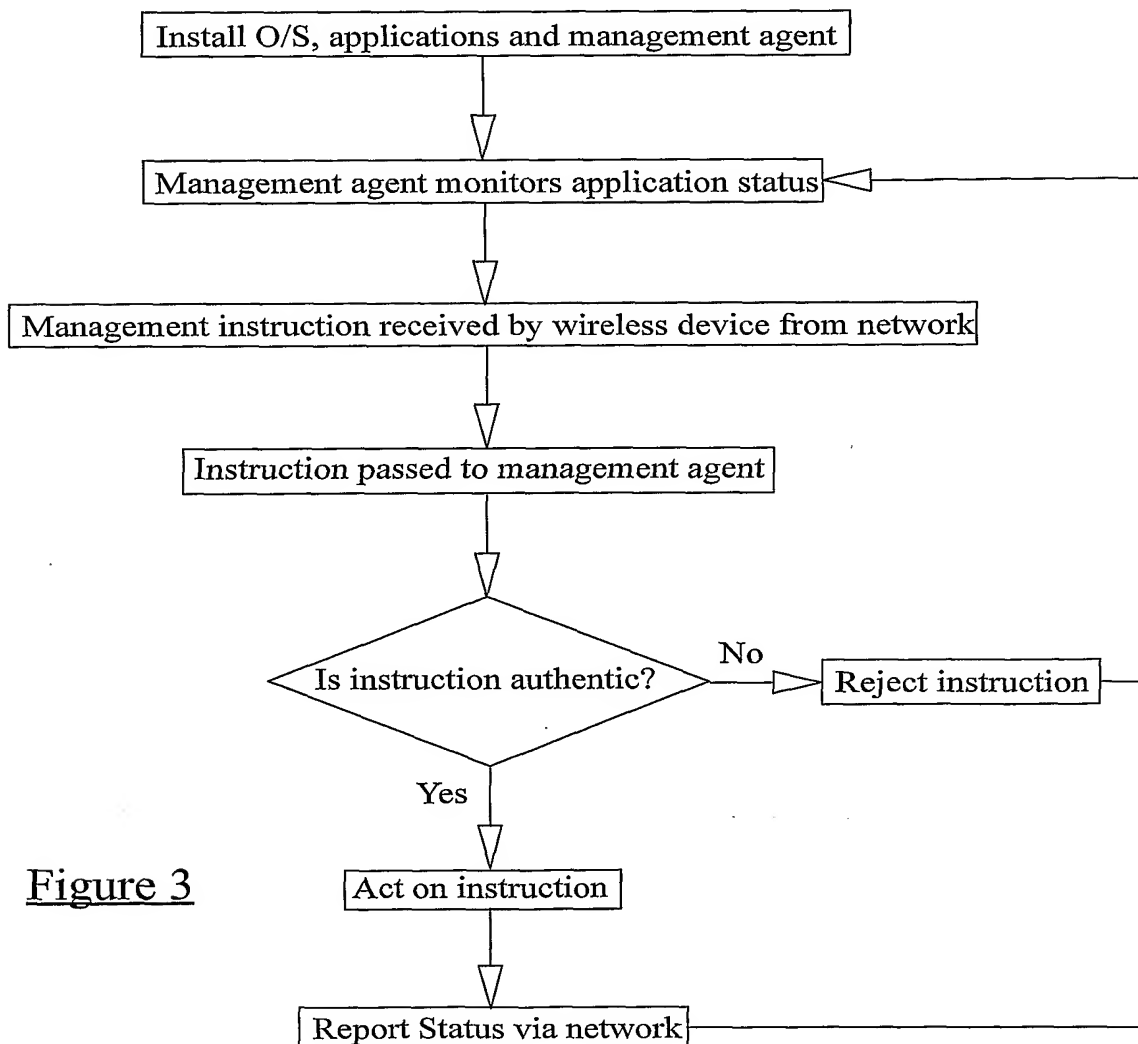
the management agent being arranged to process the instructions and manage the applications accordingly.

14. A device according to claim 13, wherein the device is a mobile telephone,
5 communicator, PDA, palmtop computer, or laptop computer.

1/2

Figure 1

2/2

Figure 2Figure 3